IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Te application of: Trapp et al.

Docket No.: YOR20020159US1

Serial No.: 10/065,802

Group Art Unit: 2152

Filed: November 20, 2002

Confirmation No.: 6567

For: METHOD AND APPARATUS FOR SECURE PROCESSING OF SENSITIVE DATA

INFORMATION DISCLOSURE STATEMENT

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OCT 1 2 2004

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Technology Centor 2000

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

These documents are being submitted (check only one of the next four boxes):

- [] within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- [X] before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- [] following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this Box is checked, the fee box and one of the last two boxes also must be checked);

OR

[] following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

Serial Number: 10/065,802

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEE

[] Charge our Deposit Account No. <u>09-0468</u> the amount of \$180.00, in payment of the fee set forth in 37 CFR § 1.17(p).

CERTIFICATION

- [] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- [] The undersigned certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. <u>09-0468</u>. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted.

Derek S. Jénnings Senior Patent Agent IBM Corp. IP Law Dept. T.J. Watson Research Ctr.

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Telephone: 914-945-2144

Date: October 4, 2004

Serial Number: 10/065,802

FORM PTO-1449 (Modified) ·

LIST OF PATENTS AND PUBLICATIONS OCT 0 6 2004 FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

TO TO ADEMAR

(Use several sheets if necessary)

ATTY. DOCKET NO. YOR920020159US1

FILING DATE:

SERIAL NO. 10/065,802

RECEIVE

APPLICANT: Trapp et al..

GROUP:

OCT 1 2 2004

November 20, 2003

Technology Center 2600

REFERENCE DESIGNATION			U.S.	PATENT DO	CUMENTS		Bearson		
EXAMINER INITIALS		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)	_
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		ОТН	ER ART (Includin	g Author, Tit	le, Date, Pertinent l	Pages, etc.)	***************************************		_
	AQ	Notification of Transmittal of International Preliminary Examination Report- From the International Preliminary Examining Authority for PCT/US03/25720; mailed, 24 September 2004.							
	AR	Notification of Transmittal of International Search Report or the Declaration- From the International Preliminary Examining Authority for PCT/US03/25720; mailed, 16, August 2004.							
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citation if not in conformance and not considered. Include copy of this form with next communication to applicant.